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36672 7590 07/14/2004

CHARLES E. BAXLEY, ESQ.
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09/15/2004 RHEBRAH1 00000045 10668554

01 FC:2501 665.00 OP
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Charles E. Baxley	(Depositor's name)
<i>Charles E. Baxley</i>	(Signature)
September 9, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/668,554	09/22/2003	Ray-Hua Horng	13941 B	2914

TITLE OF INVENTION: HIGH BRIGHTNESS LIGHT EMITTING DIODE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	10/14/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
MALDONADO, JULIO J	2823	438-029000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Charles E. Baxley

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

National Chung-Hsing University

250, Kuo-Kuang Road
 Taichung, Taiwan, R.O.C.

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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(Authorized Signature)

(Date)

Charles E. Baxley

September 9, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicants: Ray-Hua HORNG, et al. Docket Number : 13941 B
Serial No. : 10/668,554 Examiner : Julio J. MALDONADO
Filed : September 22, 2003 Art Unit : 2823
For : **HIGH BRIGHTNESS LIGHT EMITTING DIODE**

Transmitted herewith is/are the following:

☒ PART B - FEE(S) TRANSMITTAL w/MAILING CERTIFICATE

☒ Check in the amount of \$ 965.00

☒ Other Acknowledgment Postcard

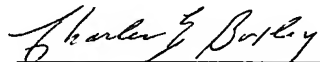
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Respectfully,

Dated: September 9, 2004
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USPTO REG. 20,149

CEB:lp/13941 B